#### Headquarters U.S. Air Force Safety

Safeguard Airmen/Guardians - Protect Resources – Enable Mission Success

# DoD HFACS 8.0 AFSAS How-To



AFSEC/SEF AFSEC/SEH

#### **U.S. AIR FORCE SAFETY**





- Summary of Changes
- Entering HFACS codes into AFSAS
- Incorporating HFACS into the Factor text.



# **Summary of Changes**

- DoD HFACS 8.0 is now live in AFSAS
- HFACS 8.0 codes will be entered on the Factors page in AFSAS (HFACS page removed)
  - Supporting information from the investigation that led to selection of specific HFACS codes should be included in the factor narrative.
  - HFACS 8.0 codes (such as AE107) do not need to be directly stated in the Factor narrative text.
  - On the printed report, HFACS 8.0 codes will be shown immediately following the Factor narrative and determination.



- DoD HFACS 8.0 is now live in AFSAS
  - Reports with event date before 1 Apr 2023 will use HFACS 7.0
  - Reports with event date after 1 Apr 2023 will use HFACS 8.0
- The DoD HFACS 8.0 guide and additional documents/presentations with information on the HFACS 8.0 transition can be found at:

https://www.safety.af.mil/Divisions/Human-Performance-Division/HFACS/



- Consistent with SIB SIO Go Package guidance:
  - Do not include HFACS codes in the factor narrative. Instead, enter applicable factor and causal factor HFACS codes in AFSAS direct code entry fields.
  - Do not enter HFACS codes for NFWODs in AFSAS.
  - When applicable, human factors terminology should be used in the factor narrative to help explain human actions. (For instance, do not write the code PC101 Inattention. Rather, provide supportive statements in the text that describe how inattention contributed)
- Subsequent slides describe changes to how DoD HFACS 8.0 codes are entered in AFSAS.



- The following slides contain step-by-step instructions for entering HFACS codes with the changes incorporated for DoD HFACS 8.0.
  - Screenshots are from the AFSAS Simulator.
- Under HFACS 8.0, codes will be entered on the Factors page in AFSAS.
  - This presentation does not include guidance on generating Factors. Please see the Factors support document in the SIB Go Package for additional information on writing Factors.



Go to the Factors homepage from the left menu and select Modify Factor for the factor to which you intend to apply HFACS codes.

✓ OBJECTS (1)	Qualifications				0	0 0	0	Not Applicable			
Y PERSONS (1)	Quality Assurance			1	0 0	1	Addressed				
в Созт	Risk Manager	nent						0	0 0	0	Not Applicable
FINDINGS	Supervision /	Management						0	0 0	0	Not Applicable
* NARRATIVE	Training							0	1 0	1	Addressed
Y FACTORS (3)	ther							0	0 0	0	Not Applicable
HOMEPAGE	7		_								
✓ Factor #1 (Human)	Save No	t Applicable									
<ul> <li>└ ● Spatial Disorientation</li> <li>Y HFACs</li> </ul>											
✓ Factor #2 (Human)	3 Factors										
✓ Factor #3 (Human)											
✓ Recommendations (1)	Астіс	ON <sup>O</sup>	NUMBER	FACTOR TITLE	CATEGORY	FAC COUNT	INVESTIGATIVE AREA	DETERMINATION	Associated Findings		NARRATIVE
EXHIBITS	¶ 10 ≒	<i>j</i> ë ti		Spatial Disorientation	Human		Dhusialasiaal	Causal Factor			Ð
Waivers	≥ →	<u>ل</u> م	- 1	Spatial Disonentation	Human	5	Physiological	Causal Factor	-		v
APPOINTMENT ORDERS	_12 ≒	¢ ق	2	Training	Human	1	Training	Factor			0
REPORT AUTHENTICATION     PREVIEW MESSAGE	12 ≒	<u>بة</u>	3	Supervision	Human	1	Quality Assurance	Causal Factor			Ð
Messages		~ "	5	Supervision	Turnan		Quality Association	Causarracion			•
VALIDATION										E	XPORT TO:
HISTORY LOG	•										
← DATA VIEWER											
MY "TO-DO" LIST	Add Fact	tor									



In the Factor Information, select Human in the factor category section (see notes for details).

TRAINING Y HFACs	EVENT ID: 170916	MISHAP CLASS: A	EVENT DATE: 02 APR 2023				
	DUTY STATUS: On-Duty	Accts Ons: ACC	CONV AUTH: ACC				
- • HFAC #1 - • HFAC #2	ONELINER: F-16; CFIT; aircraft destroyed; pilot ejected with injuries						
<ul> <li>HFAC #3</li> </ul>	Investigation Process: Privileged Safety Investigation with a Promise of Confidentiality						
✓ Factor #3 (Human)							
Y FACTOR #4 (HUMAN)	SHORT LINKS: Event • Messages • Data View	er • Worksheet					
Y FACTOR #5 (HUMAN)							
Y FACTOR #6 (HUMAN)	- FACTOR HEADER						
Y RECOMMENDATIONS (1)	FACTOR #2: Training		CATEGORY: Human				
HOMEPAGE	DETERMINATION: Factor		INVESTIGATIVE AREA: Training				
Y PRIMARY #1			intestigative rives. Topolog				
Ехнівіть	FACTOR INFORMATION						
WAIVERS							
APPOINTMENT ORDERS	Factor #2						
REPORT AUTHENTICATION	Title: *						
PREVIEW MESSAGE	Training						
MESSAGES	72 characters remaining on your input limit. (Word Count: 1)						
VALIDATION	Category: *						
HISTORY LOG	Human V						
Y DATA VIEWER							
-   HOMEPAGE	Investigative Area: *						
- • FINDINGS	Training	~					
EVENT RECOMMENDATIONS     HISTORY LOG	Domain: * 👔						
Access Log	Operations 👻						
MY "TO-DO" LIST	Investigation & Analysis Narrative:	Spell Check Detailed Instructions					
MY CALENDAR	Training was a factor						



On the page for the selected factor at the bottom select to add a Human Factor. Then, select whether or not an Act was identified.

<ul> <li>Location</li> <li>Environment</li> </ul>	SHORT LINKS: Event • Messages • Data Viewer • Worksheet					
RISK MANAGEMENT						
✓ OBJECTS (1)	∧ Factor Header					
Y PERSONS (1)						
Cost	FACTOR #2: Training		Category: Human			
FINDINGS	DETERMINATION: Factor		INVESTIGATIVE AREA: Training			
✓ NARRATIVE						
✓ Factors (3)	A GT INFORMATION					
HOMEPAGE						
Y FACTOR #1 (HUMAN)	Is an HFAC 8.0 act applicable to this factor? * 🚯 🛞 Yes 🔿 No					
✓ Factor #2 (Human)						
└ ● TRAINING ✓ HFACs	Act: *	start typing nano code or description		0		
- • HFAC #1	Rating: *	select rating 💙				
✓ Factor #3 (Human)						
✓ RECOMMENDATIONS (1)	Precondition Information					
• Ехнівітя						
WAIVERS						
APPOINTMENT ORDERS	There are no "Preconditions" entered.					
REPORT AUTHENTICATION	Add Precondition					
PREVIEW MESSAGE						



- If an Act was identified, input the Act code that you identified by typing any portion of the code or title into the entry box, and select whether the code was causal or contributory to the mishap. If there are multiple Acts associated with this mishap factor, enter the first now. Additional Acts can be added at a later time.
- If an Act was not identified for that Factor, input any higher level codes (Preconditions, Supervisory, Organizational) that were identified within that Factor using methods below.



Then, select the Precondition, Supervisory, and Organization codes that were associated with that particular Act (I.E. identify the Precondition, Supervisory and Organizational failures that led to the Act) by pressing "Add Precondition" or similar button.

- TRAINING - HFACs	DETERMINATION: Pactor	briansan			
<ul> <li>НЕАС #1</li> <li>* Басток #3 (Нимак)</li> </ul>					
<ul> <li>Recommendations (1)</li> <li>Exhibits</li> </ul>	ls an HFAC 8.0 act applicable to this factor?* 🚯 🛞 Yes 🕞 No				
WAIVERS APPOINTMENT ORDERS	Act: *	A6107 - Rushed or Delayed a Necessary Action	0		
REPORT AUTHENTICATION PREVIEW MESSAGE	Rating: *	Contributory V			
MESSAGES VALIDATION	PRECONDITION INFORMATION				
<ul> <li>History Loo</li> <li>Data Wewer</li> </ul>	There are no "Precondition" enter	red.			
MY "TO-DO" LIST	Add Precasilium				
NY CALENDAR	SUPERVISIONY INFORMATION				
INVESTIGATIONS	There are no "Supervisory" terr	đ			
<ul> <li>HAZARD MANAGEMENT</li> <li>OCCUPATIONAL ILLNESS</li> </ul>	Add Supersteary				
TRAINING	CROANIZATIONAL INFORMATION				
PUBS & REFS	There are no "Organizationa				
<ul> <li>ADMIN</li> <li>FEEDBACK</li> </ul>	Add Organizational				



- Note that DoD HFACS 8.0 requires that a precondition code be associated with every selected Act given that Human Factors principles indicate that Acts do not occur in isolation.
- More than one code can be added to each of these three levels by selecting "Save & Add Another"

<ul> <li>Factors (3)</li> </ul>					
• Номенчае	FACTOR HEADER				
<ul> <li>✓ FACTOR #1 (Human)</li> <li>✓ FACTOR #2 (Human)</li> </ul>	Factor #2: Training Category: Human				
└ ■ TRAINING → HFACs	Determination: Foctor Investigative Arisa: Tolining				
HEAC #1	PRECONDITION INFORMATION				
✓ FACTOR #3 (Нимая)					
RECOMMENDATIONS (1)     EXHIBITS     WAIVERS     APPOINTMENT ORDERS     REPORT AUTHENTICATION	Human Factor Information Act: AE 107 - Rushed or Delayed a Necessary Action Rating: Contributory Precondition: 0 Supervisory: 0 Organizational: 0 Associated Persons: 0				
Preview Message     Messages     Valeation     History Log     Oata Viewer	Precondition: * 0 Rating: * Contributory				
MY "TO-DO" LIST MY CALENDAR	Save & Add Another Cancel				
2 AMAINTICP					





- Select "Save" when you have completed adding HFACS codes associated with the act to return back to the individual factor page. At the bottom of the factor page, a table will list the selected Act, and the other codes associated with that act.
- In the table, select the icon to associate the Act with an individual.



Then, on the subsequent page, select the appropriate individual and select "Save."



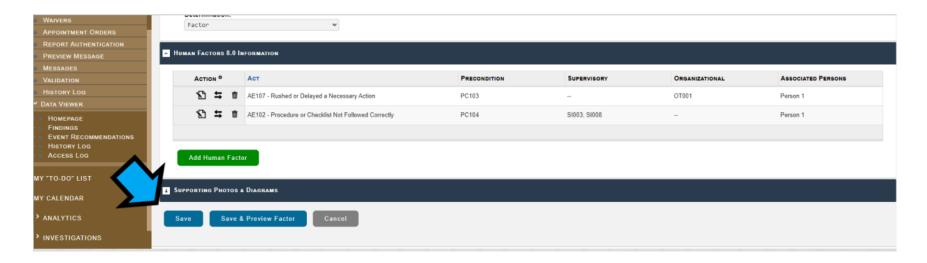
If additional HFACS codes have been identified for the factor that were not associated with the initial set of HFACS codes, select "Add Human Factor" below the HFACS table.



- This may include another Act associated with the same individual, an Act associated with a different mishap person, or additional higher-level codes.
- Use the process above to add the additional HFACS codes related with the factor.



When all HFACS codes have been entered, at the bottom of the individual factor page, select "Save."



Repeat the above process for all other mishap factors for which HFACS codes are assigned.



- Should I enter an HFACS code more than once if it is discussed in multiple factors?
  - If the same act is discussed in multiple factors, only enter the code in AFSAS once for the factor that best supports that act. This is the same for preconditions and other codes.
  - However, when two distinct acts written in separate factors warrant coding of the same Act code, those Act codes should be entered twice in AFSAS.\*
  - Similarly, Acts and associated higher level codes can be associated with multiple people; however, if the chain of HFACS codes differs between mishap individuals (e.g. different Preconditions), enter the chains of codes separately and associate each with the appropriate person.
  - Mishap level codes (Organizational Influences and Supervision / Leadership) should also only be inserted more than once if there are multiple examples of that HFACS code.
  - In the end, determine which codes apply after completing the investigation. Then, place those codes in the factor in which they are best supported.





- Should I insert the HFACS code and title in the text of each factor?
  - Do not include HFACS codes in the factor narrative. Instead, enter applicable factor and causal factor HFACS codes in the HFAC section of AFSAS. Do not enter HFACS codes for NFWODs in AFSAS. When applicable, human factors terminology should be used in the factor narrative to help explain human actions.





- Direct questions to:
  - Your local Safety office
  - Your MAJCOM Safety office
  - Or, AFSEC Aviation or Human Performance Divisions -<u>AFSEC.HP.Workflow@us.af.mil</u>